

WEALTH FUND MANAGEMENT

RTS 28 REPORTING 1ST JANUARY – 31ST DECEMBER 2021

Class of Instrument		Equity - Liquidity Bands 1 and 2		
Name	LEI	% Volume	% Orders	
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	48.27%	15.36%	
Morgan Stanley & Co. International plc	4PQUHN3JPF GFNF3BB653	23.73%	77.24%	
BofA Securities, Inc.	549300HN4UKV1E2R3U73	20.67%	0.02%	
Mariana UFP LLP	213800YRA1J9QFBMU217	3.17%	0.09%	
Skandinaviska Enskilda Banken AB	F3JS33DEI6XQ4ZBPTN86	1.84%	5.39%	

Class of Instrument		Equity - Liquidity Bands 3 and 4		
Name	LEI	% Volume	% Orders	
Morgan Stanley & Co. International plc	4PQUHN3JPF GFNF3BB653	32.71%	50.36%	
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	25.67%	23.92%	
BofA Securities, Inc.	549300HN4UKV1E2R3U73	10.79%	0.06%	
Skandinaviska Enskilda Banken AB	F3JS33DEI6XQ4ZBPTN86	10.26%	18.91%	
Makor Securities LLP	549300XDGILYCASDW611	5.86%	0.06%	

Class of Instrument		Equity - Liquidity Bands 5 and 6		
Name	LEI	% Volume	% Orders	
Morgan Stanley & Co. International plc	4PQUHN3JPF GFNF3BB653	44.34%	65.52%	
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	23.12%	20.61%	
J.P. Morgan Securities plc	K6Q0W1PS1L1O4IQL9C32	10.18%	0.01%	
Skandinaviska Enskilda Banken AB	F3JS33DEI6XQ4ZBPTN86	6.11%	9.22%	
The Northern Trust Company	6PTKHDJ8HDUF78PFWH30	4.29%	0.01%	

Class of Instrument		Debt Instruments - Bonds		
Name	LEI	% Volume	% Orders	
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	71.87%	25.00%	
J.P. Morgan Securities plc	K6Q0W1PS1L1O4IQL9C32	15.26%	16.67%	
BofA Securities, Inc.	549300HN4UKV1E2R3U73	9.63%	33.33%	
Citigroup Global Markets Limited	XKZZ2JZF41MRHTR1V493	1.93%	16.67%	
Société Générale	O2RNE8IBXP4R0TD8PU41	1.30%	8.33%	

Class of Instrument		Debt Instruments - Money Market Instruments		
Name	LEI	% Volume	% Orders	
Morgan Stanley & Co. International plc	4PQUHN3JPF GFNF3BB653	42.25%	47.22%	
Citigroup Global Markets Limited	XKZZ2JZF41MRHTR1V493	41.67%	25.00%	
J.P. Morgan Securities plc	K6Q0W1PS1L1O4IQL9C32	16.08%	27.78%	

Class of Instrument		Interest Rate Derivatives - Futures and Options	
Name	LEI	% Volume	% Orders
Morgan Stanley & Co. International plc	4PQUHN3JPFQFN3BB653	100%	100%

Class of Instrument		Indices	
Name	LEI	% Volume	% Orders
Skandinaviska Enskilda Banken AB	F3JS33DEI6XQ4ZBPTN86	56.98%	11.32%
Morgan Stanley & Co. International plc	4PQUHN3JPFQFN3BB653	33.95%	47.54%
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	9.07%	41.13%

Class of Instrument		Structured Finance Instruments	
Name	LEI	% Volume	% Orders
Morgan Stanley & Co. International plc	4PQUHN3JPFQFN3BB653	100%	100%

Class of Instrument		Equity Derivatives - Futures and Options	
Name	LEI	% Volume	% Orders
Morgan Stanley & Co. International plc	4PQUHN3JPFQFN3BB653	99.38%	97.2%
Mariana UFP LLP	213800YRA1J9QFBMU217	0.62%	2.8%

Class of Instrument		FX	
Name	LEI	% Volume	% Orders
The Northern Trust Company	6PTKHDJ8HDUF78PFWH30	61.19%	34.60%
Standard Chartered Bank	RILFO74KP1CM8P6PCT96	12.34%	0.44%
Morgan Stanley & Co. International plc	4PQUHN3JPFQFN3BB653	7.43%	62.40%
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	5.16%	1.03%
UBS AG	BFM8T61CT2L1QCCEMIK50	3.91%	0.22%

Class of Instrument		Securitized Derivatives - Warrants and Certificate Derivatives	
Name	LEI	% Volume	% Orders
Morgan Stanley & Co. International plc	4PQUHN3JPFQFN3BB653	99.38%	97.2%
Mariana UFP LLP	213800YRA1J9QFBMU217	0.62%	2.8%

Class of Instrument	Commodities derivatives and emission allowances Derivatives - Options and Futures admitted to trading on a trading venue		
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Name	LEI	% Volume	% Orders
Morgan Stanley & Co. International plc	4PQUHN3JPPGFNF3BB653	100%	100%

Class of Instrument	Other instruments		
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Name	LEI	% Volume	% Orders
The Northern Trust Company	6PTKHDJ8HDUF78PFWH30	82.04%	10.52%
Morgan Stanley & Co. International plc	4PQUHN3JPPGFNF3BB653	4.93%	68.18%
Goldman Sachs International Bank	N9FYJ29MC81J174MJE92	3.86%	0.33%
Skandinaviska Enskilda Banken AB	F3JS33DEI6XQ4ZBPTN86	1.62%	0.64%
UBS AG	BFM8T61CT2L1QCCEMIK50	1.00%	0.03%

General	<p>Wealth Fund Management (“WFMI”) Follows full guidelines of the United Kingdom by the Financial Conduct Authority (“FCA”). As part of the MiFID II best execution requirements, investment firms must publish, on an annual basis, reports summarising specific information on the quality of execution obtained for each financial instrument traded during the preceding year. MiFID II provides 22 sub-classes of financial instruments and investment firms are required to disclose their trading volumes with different counterparties expressed as a percentage of the firm’s total execution volume and a percentage of the number of executed orders, in that particular sub-class of financial instrument. The sub-classes that are not included in this report have been excluded because WFMI did not execute any orders in these instruments during 2020. This report should be read with the accompanying quantitative disclosure report (the Top 5 broker report).</p> <p>WFMI UCITS Platform is an American domiciled open-ended investment company with variable capital structured as an umbrella fund with segregated liability between its Funds under the laws of America and authorised and regulated by the Central Bank of America. The UCITS Management Company for WFMI UCITS Platform Plc is Wealth Fund Management, authorised and regulated by the Central Bank of America..</p> <p>WFMI is not acting as financial advisor or fiduciary to any party to whom it delivers this document or who invests in a fund or managed account managed by WFMI. In particular, this document (in whole or in part) does not constitute investment advice. Before investing in a fund or managed account you should ensure that you fully understand its potential risks and rewards and independently determine that it is appropriate for you given your objectives, experience, financial and operational resources, and other relevant circumstances. You should consult with such advisors as you deem necessary to assist you in making these determinations. Any indicative terms provided to you are provided for your information and do not constitute an offer, a solicitation of an offer, or any advice or recommendation to invest in a fund or managed account (whether on the indicative terms or otherwise).</p> <p>This document is intended for discussion purposes only and does not create any legally binding obligations on the part of WFMI. Without any limitation, this document does not constitute an offer, or invitation to offer or a recommendation to invest in a fund or managed account. These materials were designed for use by specific persons familiar with your business and affairs and WFMI assumes no obligation to update or otherwise revise these materials. Nothing contained herein should be construed as tax, accounting or legal advice.</p> <p>This document may not be reproduced or transmitted to any other person without the express permission of WFMI. Although the above information has been taken from sources which WFMI believe to be accurate, no warranty or representation is made as to the correctness, completeness and accuracy of the information or the assessments made on its basis.</p>
Close links, conflicts of interest and common ownership with respect to execution venues	<p>Close Links</p> <p>WFMI has no close links in relation to execution venues to report</p>
	<p>Conflicts of Interest</p> <p>WFMI has no conflicts of interest in relation to execution venues to report.</p>
	<p>Common ownerships</p> <p>WFMI has no common ownership in relation to execution venues to report.</p>
Specific arrangements with execution venues	WFMI has no specific arrangements to report



regarding payments made or received, discounts, rebates or non-monetary benefits received	
Factors leading to a change in the list of execution venues listed in the best execution policy	In accordance with the Best Execution Policy, WFMI will strive to provide best execution for its clients. Consequently, WFMI may change execution venues as required.
Differentiation across client categories	N/A - all of the Firm's clients are professional clients
Use of data / tools relating to quality of execution	WFMI has regularly monitored the quality of execution terms obtained from the execution venues. This monitoring is carried out using internal data on executed trades and an independent execution quality and TCA monitoring tool provided by a third-party service provider.
Use of consolidated tape provider output	WFMI has not used output from consolidated tape providers.